

Methodology Note on production of Small Area Population Estimates

November 2015

1. Summary of Methods

1.1. Super Output Area and Output Area Population Estimates

Super Output Area (SOA) estimates are produced using a ratio change methodology. This method uses change in the population recorded in administrative sources as an indicator of change in the true population and is used to produce SOA estimates in intercensal periods. For consistency, lower layer Super Output Area (LSOA) mid-year population estimates are constrained to middle layer Super Output Area (MSOA) estimates which in turn are constrained to local authority estimates.

LSOA population estimates are the starting point for calculating Output Area (OA) estimates. Administrative data sources are used to distribute the population, by single year of age and sex, between each OA within a single LSOA. Special populations (for example prisoners and armed forces) are treated separately as they are static populations which are not included in the administrative data sources used to calculate OA estimates.

Further detail on the production of these estimates is given in sections 4.1 and 4.2.

1.2. Health Geography Population Estimates

Clinical Commissioning Group (CCG), NHS Area Team and NHS Commissioning Region population estimates are direct aggregations of LSOA estimates and therefore no detailed method is required for their production.

Further detail is given in section 4.3.

1.3. Ward and Parliamentary Constituency Estimates

Ward and parliamentary constituency population estimates are based on aggregations of whole OA estimates. OA boundaries are not an exact fit (non-coterminous) for current ward or parliamentary constituency boundaries and are therefore allocated using a best-fit approach.

Further detail is given in section 4.4.

1.4. National Park Estimates

National Park population estimates are provided for the exact boundaries of the National Park and therefore cannot be produced by aggregating whole OA estimates. The estimates are produced using a ratio change methodology which uses changes in the population of the wider area around the National Park (based on aggregations of OAs) as an indicator of the change in the true population of the National Park.

Further detail is given in section 4.5.

2. Overview of Currently Available Estimates

The Office for National Statistics (ONS) produces estimates of the resident population of England and Wales. The most authoritative population estimates are produced every ten years and are based on the results of the latest census. These are updated annually to produce mid-year population estimates in the intercensal period (referred to as 'rolled forward' estimates). The population estimates give a stock count of people living in England, Wales, the regions of England and local authority areas, and the composition of the population in these areas by age and sex. Further [population statistics](#), including migration estimates, vital events (covering births, deaths, marriages and divorces) and population projections are also available. Detailed results from the [2011 Census](#) are also available and provide information on the characteristics of the usually resident population, for example, ethnicity and country of birth or marital status, for small areas.

Additionally, ONS produce population estimates for small areas within England and Wales.

- Super Output Area (SOA) estimates – National Statistics including estimates for middle and lower layer SOAs (MSOAs and LSOAs).
- Estimates for other small areas – Experimental Statistics including wards, parliamentary constituencies, health geography¹ and National Parks.

Small area population estimates for mid-2001 to mid-2014 SOAs have been produced on 2011 census boundaries. Mid-2002 to Mid-2014 estimates for wards, parliamentary constituencies, health geographies and National Parks have been published on the latest available official boundary sets. Geography information on OAs, [SOAs](#), [wards](#), [parliamentary constituencies](#) and [CCGs](#) can be found on the ONS website.

Estimates have also been produced for mid-2001 to mid-2014 [Output Areas \(OAs\)](#) as these are the building blocks used to form estimates for wards and parliamentary constituencies.

Small area population estimates are produced using the best methods and data sources currently available. The 2011 Census has provided an opportunity to benchmark these estimates against census data and to analyse the level of accuracy that has been achieved.

¹ This Includes clinical commissioning groups (CCGs), NHS Area Teams and NHS Commissioning Regions. Note estimates for former health geography areas (Primary Care Organisations) are available for mid-2002 to mid-2012 but were discontinued from mid-2013 onwards, following a [formal consultation](#) procedure.

A report entitled [Small Area Population Estimates \(SAPE\) Evaluation: Report on Accuracy Compared to Results of the 2011 Census](#) compared 'rolled forward' SOA estimates for mid-2011 (based on 2001 Census data) with 2011 census-based SOA estimates for mid-2011 and was published on 6 November 2015. This analysis will identify how well the ratio change² methodology has performed in estimating small area populations over the intercensal period.

3. Population Definition

The population base from the 2011 Census underpins the mid-year population estimates base and is defined as follows:

'The 2011 Census was conducted on a resident basis. This means the statistics relate to where people usually live, as opposed to where they are on census night. Students and school children studying away from home are counted as resident at their term-time address. Wholly absent households were legally required to complete a census form on their return. No information is provided on people present but not usually resident'.

The definition of the population used in the small area estimates is consistent with that used for the published local authority mid-year estimates. These are broadly consistent with the definition used for the 2011 Census, although there are some minor differences in the treatment of armed forces.

In order to ensure that members of the armed forces were enumerated consistently, the 2011 Census was designed so that members of the armed forces were enumerated at their 'permanent or family home' (this is considered to be their usual residence for census purposes). The mid-year estimates definition of usual residence for armed forces is different as it may be either their 'permanent or family home' or the armed forces base, depending on individual circumstances. For the purposes of calculating mid-year population estimates, an adjustment has been applied to the 2011 census data at OA level to reallocate members of the home armed forces from their 'permanent or family home' to their place of residence at the armed forces base, where these are different.

The allocation of prisons differs from the 2011 census allocation of prisons in a small number of areas. In the mid-year estimates at LSOA level prisoners are allocated to the LSOA in which the majority of the prison falls.

In practice, when producing a population estimate, a number of data sources have to be used, each with its own definition of usual residence. Further detail on the administrative datasets used to produce small area population estimates can be found in Appendix C.

² Further information on the ratio change methodology is given in Section 4: Methods

4. Methods

This section details the ratio change (SOA) and best fit (OA) methodologies used to produce small area population estimates in intercensal years. In a census year, population estimates are produced from census estimates and aged forward and adjusted to account for differences between census day and the mid-year (30 June) and usual residence definitions. Following a census, rolled forward estimates for the intercensal years are revised to account for population change over this period and also to provide a consistent time series of population estimates. More details on the one-off methods used to produce estimates in census years and make the revisions to the estimates for the period mid-2002 to mid-2010 are included in Appendices A and B respectively.

4.1. Production of Super Output Area population estimates

The estimates for mid-2014 were produced using a ratio change methodology. Prior to the mid-2013 estimates, a number of different administrative datasets were used in the production of these estimates and these are documented in Appendix C. From mid-2013 onwards, only the patient register data was used.

The description of the methodology below uses the example of creating rolled forward mid-2014 LSOA estimates. For example, the mid-2014 LSOA estimates were produced using mid-2013 LSOA estimates as the population base.

MSOA estimates are created in a similar manner using derived MSOA quinary age by sex change ratios and constrained to local authority mid-year estimates.

4.1.1. Step by Step Guide to Methodology

The estimates were produced by applying the ratio change method to a LSOA estimate of the population base (the mid-2013 LSOA estimates) using patient register data. In previous years a combination of patient register and child benefit data was used. However, child benefit data could not be used from mid-2013 due to the impact of the government policy introduced in 2013 to restrict the availability of child benefit depending on family income. This issue is discussed in more detail in the [Quality and Methodology Information](#) paper.

Before applying these change ratios some population counts are subtracted (referred to as the special population) comprising UK armed forces, foreign armed forces and dependants, and prisoners, and added again after these counts are constrained to the 2014 local authority mid-year estimates minus the special population.

The main assumption behind this ratio change method is that, for each area, the data should have a consistent relationship with the true population over time.

Change ratios were calculated by quinary age group and sex for the patient register data. The change ratios are calculated by dividing for each dataset the mid-2014 count by quinary age and sex with the mid-2013 count by quinary age and sex. For example, a mid-2014 count of 50 divided by a mid-2013 count of 40 gives a change ratio of 1.25.

From mid-2013, all age groups are covered by a single dataset (patient register), the LSOA change ratios for quinary age groups and sex in previous years are as follows:

- 0-4, 5-9 and 10-14 - child benefit data and patient registers
- 15-19 to 90+ - patient registers

In summary, the ratios are then:

1. applied to the mid-2013 LSOA population minus the mid-2013 special population by quinary age and sex, and
2. constrained to the mid-2014 MSOA estimates (less mid-2014 special population), which have been constrained to the local authority mid-2014 estimates less mid-2014 special population. Then,
3. mid-2014 LSOA estimates by single year of age and sex are produced by apportioning the quinary age counts to single year of age using mid-2014 local authority constrained patient register single year of age and sex counts;
4. these mid-2014 LSOA estimates by single year of age and sex are then constrained for consistency to mid-2014 MSOA estimates by single year of age and sex (these counts are derived from mid-2014 MSOA quinary age and sex estimates created using the same ratio change methodology as for LSOAs, apportioned to single year of age and sex using mid-2014 local authority constrained patient register counts by single year of age and sex);
5. updated mid-2014 special population counts are then added back in to the quinary and single year of age and sex counts, to give mid-2014 LSOA estimates by quinary and single year of age and sex;

Where two change ratios were produced for some of the age groups to reflect the availability of two datasets for these age groups, the change ratios were averaged by adding together the two ratios and dividing by two.

Any change ratios produced for counts containing a zero by quinary age and sex were changed to 1 to ensure a valid ratio was produced. Where change ratios were applied to a base population by quinary age and sex of zero, the base population was changed to 1 to ensure an actual population count in the estimate, otherwise counts of zero in the base population would forever remain at zero.

Where SOA data for any of the datasets were identified to be erroneous, the calculated change ratios were updated to correct for identified errors. Criteria were developed to assist in the consideration of making any changes to the originally calculated change ratios.

An illustrative diagram of the Ratio Change method is shown in Appendix D.

4.2. Production of Output Area population estimates

Output Area (OA) estimates by age and sex are the building blocks used to form estimates for wards and parliamentary constituencies using a best fit method³. OA estimates are consistent with estimates for higher geographies, such as SOAs, local authorities and the national total for England and Wales. The description of the methodology below uses the example of creating rolled forward mid-2014 OA estimates.

4.2.1. Step by Step Guide to Methodology

Rolled forward mid-2014 LSOAs are the starting point for calculating rolled forward mid-2014 OA estimates.

The following stages are followed to produce mid-2014 OA estimates:

1. Create mid-2014 LSOA estimates, by single year of age and sex

Rolled forward mid-2014 LSOAs are the starting point for calculating mid-2014 OA estimates. These estimates are produced ahead of the other small area population estimates that are based on OA estimates.

2. Remove special populations

Special populations (prisoners and armed forces) are removed from the mid-2014 LSOA estimates. These populations are treated separately as they are static populations, at known locations, which are not included in the administrative data sources used to calculate OA estimates.

3. Apply patient register distribution

The distribution of population between each OA in a single LSOA can be determined from administrative data sources. The number of patients registered on GP lists in each OA at the mid-year point is used as a proxy for the true size of the population at the same point in time.

³ A requirement of the Geography Policy for National Statistics is that estimates for all geographies should be built from aggregations of whole OAs or SOAs. As OAs and administrative boundaries do not align it is not possible to aggregate OAs to exactly fit these boundaries. Therefore a method was required to determine the allocation of OAs to each geography. Details on [best-fitting](#) and reasons for use can be found on the ONS website.

For example:

LSOA x, made up from 5 OAs x1,...,x5, has 20 males aged 0 and 15 females aged 0 at mid-2013.

The **patient register distribution** of 0 year olds across the 5 OAs x1,...,x5 is as follows:

OA	Males aged 0		Females aged 0	
	Count	%	Count	%
x1	6	20	2	10
x2	3	10	4	20
x3	6	20	5	25
x4	12	40	8	40
x5	3	10	1	5
Total	30	100	20	100

The mid-2014 estimates for 0 year olds in the 5 OAs are therefore given by the percentages shown in the table above multiplied by the mid-2014 estimate for the parent LSOA. The example results are shown below:

OA	Males aged 0			Females aged 0		
	LSOA Total	%	OA Total	LSOA Total	%	OA Total
x1	20	20	4	15	10	1.5
x2	20	10	2	15	20	3
x3	20	20	4	15	25	3.75
x4	20	40	8	15	40	6
x5	20	10	2	15	5	0.75

4. Rounding and constraining

The resulting estimates for each OA by single year of age and sex are then rounded to ensure estimates of whole persons and constrained to the mid-2014 LSOA estimates by single year of age and sex. This process ensures that these estimates are fully consistent with mid-year population estimates for all higher geographies.

4.3. Production of health geography estimates

The Health and Social Care Act 2012 introduced [a new structure for NHS organisation](#) which replaced primary care organisations (PCOs) with CCGs from 1 April 2013. CCG areas are formed from groups of LSOAs and therefore CCG population estimates are created by directly aggregating LSOA estimates.

CCGs are organised into two higher levels of health geography, NHS area teams and NHS commissioning regions. These two geographies are formed from groups of CCGs and therefore CCG population estimates for these areas are also created by directly aggregating LSOA estimates.

In April 2013 there were 211 CCGs, 25 NHS area teams and 4 NHS commissioning regions in England.

4.4. Production of ward and parliamentary constituency estimates

Mid-year OA estimates are directly aggregated to produce ward and parliamentary constituency estimates by single year of age and sex. This is achieved by using the published OA to ward and OA to parliamentary constituency geography lookups, which are available from the [ONS Geography Portal](#). Current estimates are published on 2014 ward boundaries and 2010 Westminster parliamentary constituency boundaries. These lookups allocate OAs to higher level geographies using a [best-fitting](#) method. For each OA, a single fixed point is established that represents how the population is spatially distributed within the OA. These points are called population-weighted centroids and are calculated algorithmically based on 2011 Census estimates. The allocation of OAs to wards and parliamentary constituencies is based on where this point falls. Prior to 2011, ward and parliamentary constituency estimates were produced using a postcode best-fit method. Further details on this method are available under [methods and guidance](#) on the ONS website.

There are 8,521 electoral wards as at 31 December 2014. Eighteen of these are sub-threshold wards which do not meet the minimum population requirements for data confidentiality (40 resident households and 100 resident people in the 2011 Census). As these are smaller than OAs they will not have separate OA estimates attached to them. In these cases, neighbouring wards have assumed the populations as detailed in Appendix E. More information on sub-threshold wards can be found in the [best fit policy](#) document.

4.5. Production of National Park estimates

The ONS Geography Policy states that statistics for higher level geographies should be built from Output Area (OA) statistics using a 'best fit' allocation. National Parks are an exception to this, as they cannot be suitably estimated through best-fitting of OAs. As such, estimates for National Parks for the 2011 Census were produced on an exact-fit basis, however this process cannot be repeated for mid-year population estimates.

4.5.1 Estimates for mid-2011 onwards

The production method for National Park estimates is a two-stage process. The first stage rolls forward the estimates for a set of ad-hoc age and sex groups⁴ (as per those used for the publication of 2011 Census data). The second stage rolls forward estimates by single year of age and sex and constrains these to the broader ad-hoc age and sex group estimates produced in the first stage.

A ratio change method was used to roll forward the published 2011 Census National Park estimates (by the ad-hoc age and sex groups) to produce mid-2011 estimates. This approach uses the population growth of the wider National Park area as a proxy for the change within the National Park boundary. These wider areas are the groups of OAs that have postcodes lying within National Park boundaries (example, figure 1), as determined by the National Statistics Postcode Lookup (NSPL, August 2013).

Figure 1 Output Areas within Exmoor National Park



The same approach was then used to roll forward mid-2011 estimates to produce mid-2012 estimates (and to roll forward mid-2012 estimates to produce mid-2013 estimates).

Subsequent to the publication of mid-2011 and mid-2012 estimates, a method was devised to use more detailed 2011 Census data to produce estimates by sex and single year of age. This method included additional steps to ensure additivity to the published broader estimates. For consistency, this two-stage production process has been continued for mid-2013 and mid-2014, although only the single year of age and sex estimates have been published (as the broader estimates can be obtained by aggregating the single year of age and sex data).

⁴ Total persons; total males; total females; and persons aged 0 to 4, 5 to 7, 8 to 9, 10 to 14, 15, 16 to 17, 18 to 19, 20 to 24, 25 to 29, 30 to 44, 45 to 59, 60 to 64, 65 to 74, 75 to 84, 85 to 89, 90+.

4.5.2. Step by Step Guide to Methodology

Stage 1 – Estimates for broader ad-hoc age groups

The following stages are followed to carry out the ratio change methodology to produce the National Park population estimates for each year. The example given is for producing mid-2011 rolled forward from 2011 Census, but the process is analogous for all years.

1. Create wider National Park areas from Output Areas (OAs)

The National Statistics Postcode Lookup (NSPL, August 2013) lists all current and previous postcodes and the higher geographies in which they are deemed to lie. This is used to create a lookup of OAs which fall wholly or partially within National Parks to form wider-National Park areas.

2. Calculate proportional change for rolling forward

Population estimates for these wider areas are created for 2011 Census and mid-2011 by aggregating the OA estimates for those periods. The aggregations are kept consistent with the 2011 Census National Park published estimates: Persons; Males; Females; age group (0 to 4, 5 to 7, 8 to 9, 10 to 14, 15, 16 to 17, 18 to 19, 20 to 24, 25 to 29, 30 to 44, 45 to 59, 60 to 64, 65 to 74, 75 to 84, 85 to 89, 90+).

The proportional population change for each group is calculated for each area.

$$\text{i.e. } \text{change}(\text{persons}) = \text{Mid-2011}(\text{persons}) / \text{Census}(\text{persons})$$

$$\text{change}(\text{males}) = \text{Mid-2011}(\text{males}) / \text{Census}(\text{males})$$

$$\text{change}(18 \text{ to } 19) = \text{Mid-2011}(18 \text{ to } 19) / \text{Census}(18 \text{ to } 19)$$

This change is then applied to the 2011 Census National Park estimates to produce unrounded unconstrained mid-2011 National Park estimates.

$$\text{i.e. } \text{Mid 2011 National Park (persons)} = \text{2011 Census National Park (persons)} \\ * \text{change (persons)}$$

3. Constraining

The resulting estimates by sex and age group will not sum to the persons totals and therefore need constraining.

- Firstly, the persons data is rounded to the nearest integer
- The estimates by sex are then constrained to persons totals
- The estimates by age group are then constrained to persons totals

4. Rounding

The unrounded sex and age group estimates are then rounded to the nearest integer and constrained to ensure consistency with the persons totals.

Stage 2 – Estimates by single year of age and sex

Mid-2011

1. Fitting

Due to the proximity of the 2011 Census to mid-2011, it was decided that the 2011 Census national park estimates by sex and single year of age would not be rolled forward by ratio change, but would themselves be fitted to the mid-2011 published broader estimates using Iterative Proportional Fitting (IPF).

2. Rounding

The unrounded estimates are then rounded to the nearest integer whilst maintaining previous totals.

Mid-2012 (and onwards)

1. Calculate proportional change for rolling forward

Population estimates for these wider areas are created for mid-2011 and mid-2012 by aggregating the OA estimates (by single year of age and sex) for those periods.

The proportional population change for each group is calculated for each area.

I.e. $change(males\ aged\ 0) = Mid-2012(males\ aged\ 0) / Mid-2011(males\ aged\ 0)$

This change is then applied to the mid-2011 National Park estimates to produce unrounded unconstrained mid-2012 National Park estimates.

I.e. $Mid\ 2012\ National\ Park\ (males\ aged\ 0) = Mid\ 2011\ National\ Park\ (males\ aged\ 0) * change\ (males\ aged\ 0)$

2. Fitting

The resulting estimates by sex and age group do not sum exactly to the broader estimates and were fitted to these using Iterative Proportional Fitting (IPF)

3. Rounding

The unrounded sex estimates are then rounded to the nearest integer whilst maintaining previous totals.

4.6. Statistical disclosure control of estimates

The disclosure control processes applied to the estimates include small adjustments made to selected cells. Adjustments are made in such a way that inference of an underlying count is not possible but that the usefulness of the aggregated estimates is not materially affected.

5. Limitations of the estimates

The estimates have been produced using administrative data sources to identify annual population change in intercensal years. Any deficiencies in these data sources may therefore impact upon the quality of the estimates produced. Where known deficiencies have been identified, corrective measures have been applied, though other deficiencies in the use of administrative data sources for producing population estimates may be less apparent, for example list cleaning of the patient registers.

Small area population estimates were initially intended for publication by five year age group and sex. More detailed estimates have since been provided by single year of age and sex. These are intended to enable and encourage further analysis and use of the estimates. Particular caution should be exercised in using estimates at a greater level of disaggregation - for example, for OAs, or for single year of age groups, as these would not be expected to have the same level of accuracy as the aggregated estimates.

5.1. Mid-2014 estimates

In some local authorities the number of people included in patient register data in 2014 has increased or decreased in a number of LSOAs and MSOAs compared to 2013 data, which may be due to changes in administrative practices or may reflect genuine population change. The process of constraining LSOA and MSOA estimates to previously published local authority population estimates means that this pattern is not automatically reflected in the mid-year estimates.

5.2. Mid-2013 estimates

In some London local authorities the number of people included in patient register data in 2013 has decreased in a large number of LSOAs and MSOAs compared to 2012 data, which may be due to changes in administrative practices or may reflect genuine population change. The process of constraining LSOA and MSOA estimates to previously published local authority population estimates means that this pattern is not automatically reflected in the mid-year estimates. However, the constraining process has created some anomalous changes in a minority of LSOAs and MSOAs so some caution should be applied in interpreting estimates which show large percentage changes from mid-2012.

Small area population estimates for mid-2013 are affected by an error identified in the allocation of foreign armed forces in the mid-2013 local authority population estimates, an issue described in detail in the [Quality and Methodology Information](#) for those statistics. In total, the error affects population estimates for males and (to a lesser extent) females aged 18-59 in 45 local authority areas, particularly Forest Heath in Suffolk. As the small area population estimates are constrained to the local authority totals, these errors are carried forward into the figures for all small area geographies within (or containing) the affected areas. In the majority of areas the impact of the problem is negligible however, some caution should be taken in interpreting figures for small areas known to have large numbers of foreign armed forces or located near foreign armed forces populations, particularly if these have changed significantly from the estimates for mid-2012.

5.3. Mid-2012 estimates

Mid-2012 small area population estimates rely on data from the patient register to provide the detailed information about the age and sex distribution of the population at OA level. By contrast, the mid-2011 OA estimates were heavily based on the results of the 2011 Census with minor adjustments to account for population change during the period between census day and the mid-year point. In a minority of areas, where the census distribution is significantly different from that given by 2012 patient register data, there may be large differences between the mid-2011 and mid-2012 estimates for some OAs. The OA estimates are constrained to the LSOA totals, so in general estimates for higher geographies, which often contain whole LSOAs, do not show as much variation as that seen at the OA level.

The mid-2012 population estimates use administrative data to account for the special population (prisoners and armed forces) that is present in each small area. The mid-2011 estimates included 2011 Census estimates of the special population, which in some areas may differ significantly from those given by the administrative data sources for mid-2012. These definitional differences may create unexpected changes in population between mid-2011 and mid-2012 for a minority of areas with large special populations. In a small number of areas, which have particularly large special populations, adjustments have been applied to the mid-2012 data to ensure population estimates remain plausible.

5.4. Mid-2011 estimates

For mid-2011 estimates based on the 2011 Census, no explicit adjustment was made for either internal or international in- or out-migration in the period from census day (27 March 2011) to 30 June 2011 (mid-year). However, an adjustment will have been made through the constraining to the local authority estimates which will have included these components. This therefore assumes that population change due to migration by age-sex (in- and out-migrants) in this period in all SOAs within a local authority is proportional to its size in those age-sex groups. This is unlikely to be true, however, as this estimate is for a short time it is unlikely that the differences will be large.

5.5. Revised mid-2002 to mid-2010 estimates

Revised mid-2002 to mid-2010 estimates have been produced to provide a consistent time series of population estimates for the intercensal period. A method was put in place that reconciled the rolled forward LSOA estimates to the mid-2011 census-based LSOA estimates, balancing the need to produce a plausible revised backseries for total populations and sensible age-sex distributions that can be used as building blocks for producing best fit population estimates and bespoke population groups. The revisions also include a number of corrections for known issues with the previous series of estimates. The most important of these were:

- an adjustment to correct for under estimation of Foreign Armed Forces (FAF) in Harrogate local authority in the mid-2009 to mid-2010 estimates.
- an adjustment to correct for boundary changes in Neath Port Talbot and Powys in mid-2005 to mid-2009

Research work undertaken prior to the publication of the revised mid-2002 to mid-2010 SAPE identified three possible methods to produce a backseries of population estimates. A 'full assessment method' using census and administrative data along with an individual consideration of each area, where required, would have resulted in more accurate estimates overall. However, the advantages of increased accuracy were weighed against the impact on timeliness – that is, how soon the estimates could be published. Here there was a trade-off between different aspects of the quality of the estimates.

The 'distribution of the difference' method provided the best balance in the majority of small areas between producing a plausible backseries of population estimates for each individual area and using a relatively straightforward method to allow timely publication. The method was designed to identify the difference between the census-based and rolled forward mid-2011 estimates for each OA and LSOA and distribute this difference across the backseries in order to remove any 'jump' in the estimates between mid-2010 and mid-2011. As a consequence, the patterns of change identified in administrative data using the ratio change method may not be maintained in the revised mid-2002 to mid-2010 figures.

Limitations of this method are that it relies on making an assumption on how the difference between the two sets of estimates for mid-2011 has developed over time. This assumption will be particularly important for OAs or LSOAs where the 2011 census estimates were very different from the 'rolled forward' estimates.

As the difference is distributed across the OA and LSOA backseries by age-sex cohort, an implicit assumption is also made that populations in mid-2011 would have been in an area in 2002 at a younger age (that is a 19 year-old male in mid-2011 would have been in the same area in 2002 but aged 10). This was a particular issue in LSOAs with high student-aged populations. Constraining the LSOA estimates to the revised subnational mid-year estimates will have corrected for this to a certain degree: however, a minority of LSOAs show very small counts at younger ages as a result of this assumption. Care must be taken in interpreting age distributions for areas affected by this issue.

6. Further Information and Contacts

ONS are currently carrying out a quality review of SOA estimates. The review will assess the suitability of current methods used to produce these estimates. Key findings and recommendations will be published on the ONS website.

For user feedback and further information, please contact the Population Estimates Unit:

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Appendix A: Methodology used in census years

A.1 Mid-2011 Super Output Areas (SOAs)

Estimates of the resident population as at mid-2011 have been produced for publication by quinary age group and sex, for LSOAs and MSOAs. Data by single year of age will also be available. For consistency, the mid-2011 LSOA population estimates, by age and sex, are constrained to the mid-2011 local authority mid-2011 estimates. MSOA estimates are produced by directly aggregating the LSOA estimates.

A.1.1 Step by Step Guide to Methodology

The following stages were followed to produce mid-2011 LSOA estimates:

1. Unadjusted 2011 Census LSOA population estimates by single year of age and sex for the usually resident population were aged forward from 27 March 2011 (Census Day) to 30 June 2011.
2. These aged forward estimates were adjusted to account for differences in armed forces usual residence definitions between census and mid-year estimates (see section 4.2).
3. Births occurring from 28 March 2011 to 30 June 2011 were added. Nationally this added 188,000 babies (0 year olds).
4. Deaths occurring from 28 March 2011 to 30 June 2011 were subtracted. Nationally this reduced the estimates by 121,000 persons.
5. The LSOA estimates by single year of age and sex were constrained to the mid-2011 local authority (LA) mid-year estimates. This constraining is required because the mid-2011 LA estimates include adjustments for internal and international migration (see section 4.4).
6. These LSOA estimates by sex and single year of age were then aggregated to produce MSOA estimates and estimates for both LSOA and MSOA by quinary age group.

A.2 Mid-2011 Output Areas (OAs) and other geographies

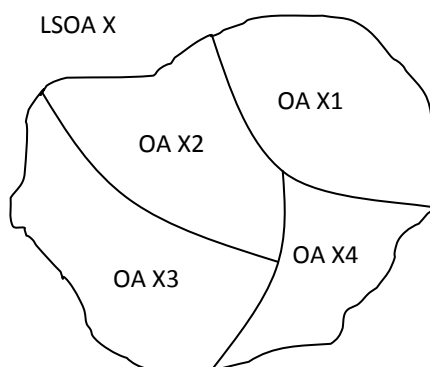
A.2.1 Step by Step Guide to Methodology

The following stages were followed to produce mid-2011 OA estimates which are then aggregated to produce mid-2011 ward and parliamentary constituency estimates on a best-fit basis.

- Produce estimates for mid-2011 OAs, by single year of age and sex

For each LSOA:

1. Establish the component OAs that make up each LSOA (in this example LSOA X consists of 4 OAs: X1, X2, X3 and X4).



2. For each single year of age and sex of the adjusted 2011 Census LSOA estimate, calculate the contribution from each component OA.

For example, 25% of males aged 0 in the adjusted 2011 Census estimate for LSOA X are in OA X1, 15% are in OA X2 etc.

LSOA X (2011 Census population)							
Male 0	Male 1	Male 2	... M90+	Female 0	Female 1	Female 2	... F90+
OA X1 0.25	OA X1 0.2	OA X1 0.25		OA X1 0.2	OA X1 0.1	OA X1 0.25	
OA X2 0.15	OA X2 0.25	OA X2 0.25		OA X2 0.4		OA X2 0.5	
OA X3 0.4	OA X3 0.4	OA X3 0.2		OA X3 0.25	OA X3 0.2	OA X3 0.2	
OA X4 0.2		OA X4 0.3		OA X4 0.15	OA X4 0.2	OA X4 0.15	

This is the '2011 Census OA-LSOA population distribution'.

3. Apply the '2011 Census OA-LSOA population distribution' to the mid-2011 LSOA estimates, by single year of age and sex, to derive mid-2011 OA estimates (also by single year of age and sex).

In the example for LSOA X, 25% of the mid-2011 estimate for males aged 0 is allocated to OA X1, 15% to OA X2 etc. This process is then repeated for all single year of age and sex groups.

LSOA X (Mid-2011 population)							
Male 0	Male 1	Male 2	... M90+	Female 0	Female 1	Female 2	... F90+
OA X1 0.25	OA X1 0.2	OA X1 0.25		OA X1 0.2	OA X1 0.1	OA X1 0.25	
OA X2 0.15	OA X2 0.25	OA X2 0.25		OA X2 0.4	OA X2 0.5	OA X2 0.4	
OA X3 0.4	OA X3 0.4	OA X3 0.2		OA X3 0.25	OA X3 0.2	OA X3 0.2	
OA X4 0.2	OA X4 0.15	OA X4 0.3		OA X4 0.15	OA X4 0.2	OA X4 0.15	

4. Round the resulting OA estimates to whole persons whilst maintaining totals to mid 2011 LSOA estimates. The mid-2011 LSOA estimates used were post-statistical disclosure control.

- **Aggregate the mid-2011 OAs estimates to produce ward and parliamentary constituency estimates**

The final step is to aggregate the mid-2011 OA estimates to produce 2011 ward and parliamentary constituencies estimates by single year of age and sex. This was done by using the published OA to Ward and OA to parliamentary constituency [geography lookups](#). Allocation of OAs was determined using a best-fitting method, produced on the basis of using OAs as building blocks to estimate higher geographies.

Further aggregations were required for 2011 Wards to incorporate the populations of eighteen sub-threshold wards into neighbouring wards in line with published 2011 Census wards. This is required to ensure the confidentiality of data being published, as sub-threshold wards do not meet minimum requirements for the number of people and households in the area (see Appendix E).

A.3 Mid-2001 Super Output Areas (SOAs)

Findings of ONS research which reviewed evidence on the 2001 Census estimates indicated that, whilst no single piece of evidence on its own was conclusive, the weight of evidence suggested that the 2001 Census did not cover all people in England and Wales, particularly young adult men. Accordingly the 2001 local authority mid-year estimates were revised in September 2004 to reflect this evidence, including: adjustments for missing Census forms, Longitudinal Study adjustments, the Manchester and Westminster Matching Studies, and 2004 Local Authority Studies. These adjustments resulted in a national count for mid-2001 that was 318,000 greater than the 2001 Census count. The methodology used to produce

mid-2001 SOA population estimates reflects the population adjustments incorporated in the local authority mid-year estimates.

Estimates of the resident population as at mid-2001 were produced for publication by broad age group and sex for LSOAs and quinary age group and sex for MSOAs. For consistency, the mid-2001 LSOA population estimates, by age and sex, are constrained to the mid-2001 MSOA estimates which in turn are constrained to the 2001 local authority mid-year estimates.

The methodology used to create these mid-2001 SOA estimates was quality assured and approved by ONS Methodology Directorate.

A.3.1 Step by Step Guide to Methodology

The derived mid-2001 LSOA population base produced reflects the methodology used to create the revised mid-2001 national and local authority estimates, but down to the smaller LSOA geography. In summary, to produce the mid-2001 LSOA the stages below were followed:

1. Unadjusted Census Output Area counts by single year of age and sex for the usually resident population were aggregated to LSOAs.
2. To these counts, an adjustment was made for missing Census forms, adding 5,100 people in 21 LSOAs.
3. The population counts by single year of age and sex were aged forward from 29 April 2001 to 30 June 2001.
4. To these counts, the Longitudinal Study local authority population revisions to males aged 21-50 in the revised mid-2001 local authority revised estimates were added. Nationally 163,800 persons were added. These were disaggregated to 6,647 LSOAs, using an adaptation of the methodology used to derive local authority male counts. The [methodology used to make the local authority revisions](#) is available on the ONS website.
5. To these counts, 2,453 LSOA adjustments for 15 local authorities resulting from the local authority Studies review were added. Nationally this was 107,400 persons.
6. Births (0 year olds) occurring from 1 May 2001 to 30 June 2001 were added. Nationally this added 100,900 babies.
7. Deaths occurring from 1 May 2001 to 30 June 2001 were subtracted. Nationally this reduced the estimates by 84,100 persons.
8. The single year of age and sex counts were constrained to the revised 2001 local authority mid-year estimates (published in September 2004) and to reflect a population change of around 1,100 resulting from April 2003 boundary changes in three Welsh Unitary Authorities - Carmarthenshire, Ceredigion and Pembrokeshire.

MSOA estimates were produced by aggregating the LSOA counts. To address comments received following the user consultation with the previously published ward-level population estimates, further adjustments were incorporated into these LSOA/MSOA estimates as follows:

9. Adjustments for identified under/over estimation at MSOA level were applied to the component LSOAs. These adjustments reflect MSOAs where local authority Census response was poor and where the MSOA estimates following Longitudinal Study adjustments differed significantly to counts from administrative data sources. The adjustments resulted in some LSOAs having a reduction in population and others an increase. Net change at local authority level was zero. Adjustments were made to 818 LSOAs within 173 MSOAs in 13 local authorities. A list of these 13 local authorities is shown in Appendix B.
10. Due to inconsistencies between Census Home Armed Forces counts and mid-2001 Defence Analytical Service Agency (DASA) local authority counts, negative counts were produced by single year of age when the mid-2001 special population was deducted from the resident population for the production of mid-2002 estimates. To overcome this, adjustments were made for 51 LSOAs within 43 MSOAs in 33 local authorities which had negative counts when the special population was subtracted. Compensating adjustments were spread across all other LSOAs/MSOAs within these local authorities. Net change at local authority level was zero.
11. Due to inconsistencies between Census Prisoner counts and mid-2001 Home Office Prisoner counts, negative counts were produced by single year of age when the mid-2001 special population was deducted from the resident population for the production of mid-2002 estimates. To overcome this, adjustments were made for 6 LSOAs within 6 MSOAs in 6 local authorities which had negative counts when the special population was subtracted. Compensating adjustments were spread across all other LSOAs within these local authorities. Net change at local authority level was zero.

A.3.2 Additional Adjustments

Further changes to the LSOA mid-2001 population were made to reflect changes in the allocation of postcodes to LSOAs. Postcodes which existed at the time of the 2001 Census which were split by electoral ward boundaries were assigned to a single Census Output Area on the basis of where the majority of the population lived. Other postcodes in use prior to April 2001, and new postcodes created after then, were assigned to a Census Output Area using the grid reference of the address closest to the mean of the easting and northing for each postcode. As a result some postcodes were allocated to a different Census Output Area than would have been the case if using a grid reference allocation. A new methodology was introduced with the August 2006 National Statistics Postcode Directory (NSPD) to resolve this.

All postcodes in England and Wales are now assigned by a point-in-polygon process (plotting grid references and assigning to digital boundaries by GIS) using current grid

references. As the grid reference for each postcode will be current and the geography allocations will be directly based on it, the two will always correspond.

The implication of this change for small area population estimates is that the population residing within addresses for some postcodes will have a changed LSOA allocation, so postcodes included in a particular LSOA at the time of the 2001 Census will now be allocated to a different LSOA, thus affecting the mid-2001 base population estimates.

The postcodes and LSOAs which were affected the most in terms of overall population numbers were identified. Some LSOAs will have been over estimated at mid-2001 and others similarly under estimated. The postcodes investigated which had a changed LSOA allocation with the May 2006 NSPD were those which from the 2001 Census had a population count of 100 or more, and which were previously assigned to a single Census Output Area, and where therefore the impact of possible adjustment would result in greatest improvement to the estimates. A 2001 Census population count of 100 was therefore the threshold considered for base population adjustment. 76 such postcodes were identified.

A visual check was then done using Ordnance Survey digital mapping to check that the new LSOA allocation was correct. In some cases it was identified that the original postcode to LSOA allocations were in fact more accurate. LSOA population adjustments for mid-2001 were made for 49 postcodes with an associated population count of 100 or more, this affected 88 LSOAs, within 62 MSOAs within 40 local authorities. For all of these postcodes the local authority allocation was unchanged.

When constraining to the local authority mid-year estimates, special account was taken for the population change of around 1,100 persons (as at mid-2001) resulting from April 2003 boundary changes in three Welsh Unitary Authorities - Carmarthenshire, Ceredigion and Pembrokeshire. The SOA counts for these local authorities if aggregated to local authority level will not be consistent with the published local authority mid-year estimates, as these reflect the local authority boundaries as at mid-2001, whereas the SOA boundaries reflect the geography at the time of the April 2003 boundary changes.

A.4 Mid-2001 estimates for other geographies

Mid-2001 population estimates for all other geographies (including output areas, wards and parliamentary constituencies) were produced using the Postcode Best Fit (PBF) methodology. Details of this method are available from the [population estimates methodology archive](#).

Appendix B: Methodology used to produce revised estimates, mid-2002 to mid-2010

B.1 Super Output Areas (SOA)

The revised estimates of the resident population mid-2002 to mid-2010 have been produced for publication by single year of age and sex, for LSOAs and MSOAs. For consistency, the revised mid-2002 to mid-2010 LSOA population estimates, by single year of age and sex, are constrained to the revised mid-2002 to mid-2010 local authority estimates. MSOA estimates are produced by directly aggregating the LSOA estimates.

B.1.1 Step by Step Guide to Methodology

The following stages were followed to produce the revised mid-2002 to mid-2010 LSOA estimates.

1. Mid-2002 to mid-2011 rolled forward LSOA population estimates (based on 2001 Census Output Areas) by single year of age and sex for the usually resident population were mapped and apportioned to the new 2011 LSOA boundaries using 2001 to 2011 geography lookup files. Geography information on [lookups](#) between 2001 and 2011 geographies can be found on the ONS website.
2. These estimates on the new 2011 LSOA boundaries were adjusted to correct for known issues in the mid-2002 to mid-2010 LSOA and LA rolled forward estimates.
3. The difference between the mid-2011 census-based and the mid-2011 rolled forward LSOA population estimates was calculated by single year of age and sex.
4. The difference at mid-2011 was distributed cumulatively across the mid-2002 to mid-2010 LSOA rolled forward series by age-sex cohort; that is assuming that the difference follows the same group of people through time.

For example the difference for males aged 25 in mid-2011 was 50 in LSOA X. In this case the mid-2011 rolled forward estimates for LSOA X were underestimating this age-sex group. The difference of 50 is therefore added on:

Starting with mid-2002, five is added on to males aged 16 years (10% of the difference).

In mid-2003, ten is added on to males aged 17 years (20% of the difference).

In mid-2004, 15 is added on to males aged 18 years (30% of the difference).

This process is then repeated until 45 is added on to males aged 24 years in mid-2010 (90% of the difference).

6. The revised mid-2002 to mid-2010 LSOA estimates were then constrained to the previously published revised census-based local authority estimates for mid-2002 to mid-2010.
7. These LSOA estimates by single year of age and sex were then aggregated to produce MSOA estimates.

B.2 Output Areas, wards and parliamentary constituencies

The following stages were followed to produce the revised mid-2002 to mid-2010 estimates for output areas (OA), wards and parliamentary constituencies.

1. Mid-2002 to mid-2010 postcode data⁵ by single year of age and sex for the usually resident population were mapped on to the new 2011 OA boundaries using 2001 to 2011 geography lookup files. Geography information on [lookups](#) between 2001 and 2011 geographies can be found on the ONS website.
2. No mid-2011 rolled forward unit postcode estimates exist. Therefore mid-2011 estimates for OAs on 2011 geographies had to be created in order to calculate the difference between the mid-2011 census-based and mid-2011 rolled forward OA estimates.
3. The difference between the mid-2011 census-based and the mid-2011 rolled forward estimates calculated by single year of age and sex.
4. The difference at mid-2011 was distributed cumulatively across the mid-2002 to mid-2010 rolled forward OA series by age-sex cohort; that is assuming that the difference follows the same group of people through time.
5. For example the difference for males aged 25 in mid-2011 was 20 in OA X. In this case the mid-2011 rolled forward estimates for OA X were underestimating this age-sex group. The difference of 20 is therefore added on:

Starting with mid-2002, two is added on to males aged 16 years (10% of the difference).

In mid-2003, four is added on to males aged 17 years (20% of the difference).

In mid-2004, six is added on to males aged 18 years (30% of the difference).

This process is then repeated until 18 is added on to males aged 24 years in mid-2010 (90% of the difference).

6. The revised mid-2002 to mid-2010 OA estimates were then constrained to the revised LSOA estimates for mid-2002 to mid-2010.
7. These OA estimates were then aggregated to produce ward and parliamentary constituency estimates.

B.3 National Parks

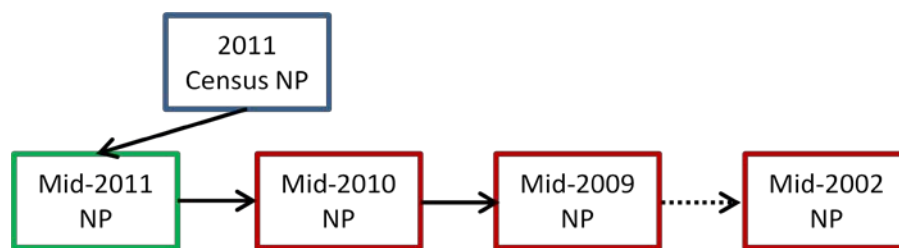
Revised mid-2002 to mid-2010 OA estimates were used to produce a consistent time series of National Park population estimates for the intercensal period. The OAs are used to form a time series of estimates for the wider National Park areas (see section 4.5), allowing the annual population changes to be established. The changes in these wider areas are

⁵ Postcode estimates were produced as part of the 'Postcode Best Fit' (PBF) method. The PBF method apportions population estimates for LSOAs to unit postcode level based on age and sex information from patient register postcode level data. A special allowance is made for population sub-groups not included on the patient registers, covering prisoners, UK armed forces, and foreign armed forces and dependents.

used to roll back National Park estimates to produce a National Park time series back to mid-2002. The method for rolling back also uses the ratio change approach described in section 4.5.

Firstly, the change from mid-2010 to mid-2011 is used to roll back the mid-2011 National Park estimates to produce mid-2010 estimates. The mid-2010 National Parks estimates are then rolled back using the change from mid-2009 to mid-2010 for these wider areas, to produce mid-2009 estimates. This process is repeated until estimates for the full intercensal period are complete.

Figure B1: Process diagram



Appendix C: Administrative datasets used in production of small area estimates

Following an evaluation of a number of data sources, the following administrative datasets were used with the Ratio Change method to produce the Super Output Area estimates:

Patient Registers

Year specific July counts of individuals included on the NHS patient registers were used, ie persons registered with a doctor, by single year of age and sex at postcode level. Through the use of a postcode look-up table (eg the National Statistics Postcode Directory) these counts can be aggregated to different geographical levels such as wards and SOAs.

The data provided to ONS have already been validated, so only records with a valid postcode are received. Improvements in the quality of postcodes on the patient registers reflect the efforts by the Strategic Health Authorities (in England) and Health Boards (in Wales) to improve the quality of the data on their registers.

Previous ONS research⁶, describing the use of patient registers to measure internal migration in England and Wales concluded that, at the local authority level, patient registers counts do not provide a reliable estimate of the resident population of England and Wales. It was also noted that for the patient registers to be used in this way the counts would require significant adjustments and further research would be needed.

When the patient register count exceeds the mid-year population estimates, this is often referred to as list inflation. This may occur when some patients have more than one NHS number and are double counted, and patients may be on doctors' lists after having left the country. List inflation may also be localised, for example in student areas where students do not quickly re-register after finishing their course of study and moving away from an area.

List inflation is greatest in London boroughs. It is expected that this list inflation is due mainly to a high number of international migrants moving into London and registering with a GP⁷. Many of them will not be removed from the GP lists when they leave.

Conversely there are other areas where the patient registers will be missing individuals due to these persons being ineligible to be registered with a GP, this has predominantly been in areas where there is known to be a high number of armed forces. This group has not generally been registered with a NHS GP. Other groups of the population which are excluded from the NHS are prisoners that are sentenced for a term of two years or more and certain patients in long stay medical hospitals. In addition there are individuals who obtain all their medical care privately who may not be registered with a GP, but these numbers are likely to be small.

⁶ Scott A and Kilbey T (1999). Can Patient Registers give an improved measure of internal migration in England and Wales? *Population Trends* 96, pp 44-55.

⁷ Office for National Statistics (2002). Using Patient Registers to estimate Internal Migration – Customer Guidance Notes. Migrations Statistics Unit, ONS, August 2002.

An investigation has been undertaken for individual postcodes where there are large patient register counts and instances where the patient register counts fluctuate significantly over time. It emerged that a significant number of these postcodes related to halls of residences at Universities. Through data from Universities and the 2001 Census, for some areas an adjusted patient register postcode count was used to more closely reflect actual numbers of students or other residents. Unless there was information to suggest otherwise, these adjusted patient register postcode counts by age and sex are kept constant over time. Around 470 postcodes are adjusted in this way, reducing the overall patient register count by around 80,000.

Child Benefit data

Child benefit data was not used in the production of SOAs from mid-2013, as noted in section 4.1.1

The Department for Work and Pensions (DWP) previously administered a Child Benefit database containing details about children for whom Child Benefit was claimed, along with the claimant's particulars (usually the mother). The claimant's details were therefore repeated in as many records as she/he has children. In 2003 responsibility for Child Benefit passed to Inland Revenue, now HM Revenue and Customs.

Child Benefit counts for April 2001 and August 2002 were received from DWP, June 2003 counts from Inland Revenue, and August counts for subsequent years from HM Revenue and Customs. Mid-year counts were not available. Whilst data were held by DWP/IR/HMRC for each child for whom Child Benefit was claimed, because of social security and data protection legislation, DWP/IR/HMRC were unable to give ONS access to identifiable, subject level data. Through an arrangement with DWP and ONS, the University of Oxford carried out a LSOA level aggregation of the 2001 and 2002 datasets. Whilst Child Benefit may be claimed for children aged 0 to 16 and over, only counts for children in the quinary age groups to 0 to 4, 5 to 9 and 10 to 14 were used to calculate change ratios. Eligibility for benefit decreases for children older than 15.

There are a number of valid reasons why we would expect the count of children aged 0 to 14 from Child Benefit to be lower than the national mid-year estimates, these include:

- Dependants of students and foreign nationals, including foreign armed forces are not eligible for Child Benefit
- Children in local authority care or foster care are not eligible for Child Benefit
- Children detained in secure or non-secure accommodation are not eligible for Child Benefit
- Children whose entry to the United Kingdom is subject to immigration control are not eligible for Child Benefit
- Children for whom Child Benefit is not claimed but who are eligible

There are also valid reasons why we would expect the distribution (location) of children from Child Benefit to differ to the mid-year estimates, these include:

- School boarders – where claimant's address is different to boarder's residential address
- Children who reside at a different address to the address of the claimant

UK Armed Forces (special population)

The armed forces component of the local authority usually resident population estimates includes UK armed forces, covering personnel in the air force, army and navy stationed in England and Wales. The numbers are collected annually from the Defence Analytical Services Agency (DASA) who provides data on the number of UK armed forces by gender stationed at each base in England and Wales. These data are mainly based on administrative systems that are used for pay and personnel purposes.

DASA also provides an age and sex breakdown for all UK armed forces which is used to help derive an age and sex breakdown of armed forces personnel at local authority level. DASA are currently unable to provide counts of armed forces personnel on a usually resident basis, residence information is available from the census.

For England and Wales, the number of UK armed forces from the 2001 Census was 154,000 compared with the DASA mid-2001 count of 150,900, a difference of 3,100 (2.1 per cent). Some of these differences may be due to the timing differences between the census and mid-year. It is not generally feasible to disaggregate the UK armed forces data currently available at local authority level and used within the local authority mid-year estimates to SOA level without using a census SOA distribution. However where information is known about changes to the location of Armed Forces personnel, this is reflected in the estimates.

Foreign Armed Forces and dependants (special population)

The foreign armed forces component of the local authority usually resident population estimates covers personnel in the US air force, US army and US navy, together with their dependants. Personnel from the US air force make up the great majority of foreign armed forces stationed in England and Wales.

The age and sex distribution of these personnel is not available annually and an age and sex distribution is applied using census data. The data sources for the three armed forces services differ and the format of data received differs slightly between sources. Data on US air forces personnel and dependants for example are only available by location (town) whilst data on US army personnel and dependants are available at postcode level and data on US navy personnel and dependants are available at postcode sector level.

For England and Wales, the number of foreign armed forces and dependants from the supplied mid-2001 data was 19,300 compared with the 2001 Census count of 17,600, a difference of 1,700 (9.4 per cent). This difference may be due in part to the timing differences between the census and mid-year. It is not currently feasible to disaggregate the

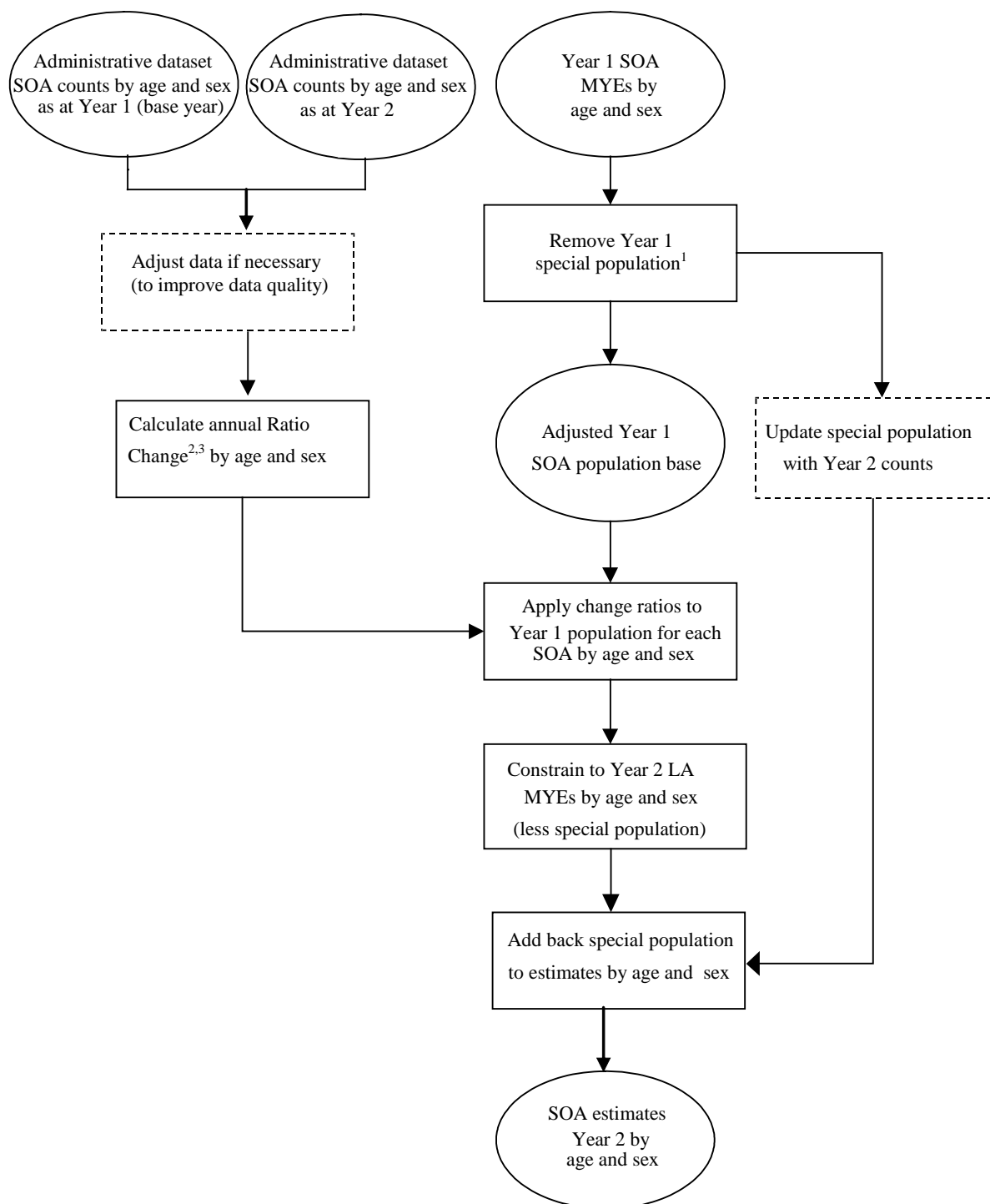
foreign armed forces data currently available at local authority level and used within the local authority mid-year estimates to SOA level without using a census SOA distribution.

Small area population estimates for mid-2013 were affected by an error identified in the allocation of foreign armed forces in the mid-2013 local authority population estimates, an issue described in detail in Section 5.1.

Prisoners (special population)

Data are received from the Ministry of Justice (formerly the Home Office) on the number of prisoners for inclusion in the local authority mid-year estimates. Age and sex information for each prisoner for all prisons is received. For population estimates prior to mid-2011, prisoners are regarded as usually resident in a prison if they have been sentenced and have served six months or more of their sentence. For estimates from mid-2011 onwards, prisoners are regarded as usually resident in a prison if they have been sentenced to six months or more.

Appendix D: Simplified ratio change methodology diagram for production of SOA estimates



1. Special Population adjustments are as follows (affecting some age groups only):
UK armed forces, foreign armed forces and dependants, and prisoners

2. Ratios produced for counts containing a zero by age and sex are changed to 1
Example of a Ratio Change calculation by quinary age and sex:

Year 2 count of 226 ÷ Year 1 count of 197 gives a change ratio of 1.1472 which is applied to the Year 1 population for the appropriate age and sex group

Appendix E: Sub-threshold wards

There were 8,521 electoral wards as at 31 December 2014. Eighteen of these are sub-threshold wards which do not meet the minimum population requirements for data confidentiality (40 resident households and 100 resident people in the 2011 Census). As these are smaller than OAs they will not have separate OA estimates attached to them. In these cases, neighbouring wards have assumed the populations as detailed in the table below. More information on sub-threshold wards can be found in the [best fit policy](#) document.

Sub-threshold Wards

Code of sub threshold ward not assigned population estimates	Name of sub threshold ward not assigned population estimates
E05009289	Aldgate
E05009290	Bassishaw
E05009291	Billingsgate
E05009293	Bread Street
E05009294	Bridge
E05009295	Broad Street
E05008322	Bryher
E05009296	Candlewick
E05009297	Castle Baynard
E05009298	Cheap
E05009299	Coleman Street
E05009300	Cordwainer
E05009301	Cornhill
E05009303	Dowgate
E05009306	Langbourn
E05009307	Lime Street
E05009311	Vintry
E05009312	Walbrook

Appendix F – Small Area Population Estimates Revisions History

SOA

SOA population estimates were first published in 2006 and have since been published on an annual basis. However, a number of revisions have been made to various datasets during this period. The table below sets out the publication history of the SOA population estimates, giving the dates and reasons for any revisions that have been made.

Table 1: SOA population estimates publication history

Data	Release Edition	Published	Reason	Superseded
Current Datasets				
Mid-2001	4	Dec 2013	Re-based on 2011 LSOA boundaries in place on 30 June 2011	
Mid-2011	1	Apr 2013	First release	
Mid-2012	1	Oct 2013	First release	
Mid-2002 to Mid-2010	2-4	Nov 2013	Revised to provide a consistent time series for future estimates based on the 2011 Census and to reflect the administrative boundaries in place on 30 June 2011	
Mid-2013	1	Oct 2014	First release	
Superseded Datasets				
Mid-2001 to Mid-2004	1	Oct 2006	First release	Oct 2007
Mid-2001 to Mid-2004	2	Oct 2007	Revised to ensure consistency with local authority estimates. LA estimates were revised in August 2007 to incorporate improved international migration estimates.	Sep 2010
Mid-2005	1	Oct 2007	First release	Sep 2010
Mid-2006	1	May 2008	First release	Sep 2010
Mid-2007	1	Nov 2008	First release	Sep 2010
Mid-2008	1	Oct 2009	First release	Sep 2010
Mid-2001	3	Sep 2010	Revised to ensure consistency with local authority estimates. LA estimates were revised in May 2010 to incorporate improvements resulting from the Migration Statistics Improvement Programme.	Dec 2013

Mid-2002 to Mid-2004	3	Sep 2010	Revised to ensure consistency with local authority estimates. LA estimates were revised in May 2010 to incorporate improvements resulting from the Migration Statistics Improvement Programme.	Nov 2013
Mid-2005 to Mid-2008	2	Sep 2010	Revised to ensure consistency with local authority estimates. LA estimates were revised in May 2010 to incorporate improvements resulting from the Migration Statistics Improvement Programme.	Nov 2013
Mid-2009	1	Nov 2010	First release	Nov 2013
Mid-2010	1	Sep 2011	First release	Nov 2013

CCG

CCG population estimates for mid-2011 and mid-2012 were first published in 2013 and will be published on an annual basis going forward. Estimates for mid-2002 to mid-2010 have been produced in line with the results of the 2011 Census and to provide a consistent time series for CCG estimates between mid-2002 and mid-2012. The table below sets out the publication history of the CCG population estimates, giving the dates and reasons for any revisions that have been made.

Table 2: CCG population estimates publication history

Data	Release Edition	Published	Reason	Superseded
Current Datasets				
Mid-2011	1	Aug 2013	First release	
Mid-2012	1	Oct 2013	First release	
Mid-2002 to Mid-2010	1	Nov 2013	First release	
Mid-2001	1	Dec 2013	First release	
Mid-2013	1	Oct 2014	First release	

Ward and parliamentary constituency

Ward and parliamentary constituency population estimates derived from the postcode best-fit method were first published in 2008 and have since been published on an annual basis. Estimates for mid-2011 onwards are derived from Output Areas (OAs) on a best-fit basis.

Table 3: Ward and Parliamentary Constituency population estimates publication history

Data	Release Edition	Published	Comments	Superseded
Current Datasets				
Mid-2011	1	May 2013	First release (2011 census-based)	
Mid-2012	1	Nov 2013	First release	
Mid-2002 to Mid-2010	2-3	Nov 2013	Revised to provide a consistent time series for future estimates based on the 2011 Census and to reflect the administrative boundaries in place in 2011	
Mid-2013	1	Oct 2014	First release	
Superseded Datasets				
Mid-2002 to Mid-2005	1	Feb 2008	First release	Feb 2011
Mid-2006	1	Aug 2008	First release	Feb 2011
Mid-2007	1	Feb 2009	First release	Feb 2011
Mid-2001	1	Feb 2008	First release	Nov 2013
Mid-2002 to Mid-2007	2	Feb 2011	Revised to ensure consistency with local authority estimates. LA estimates were revised in May 2010 to incorporate improvements resulting from the Migration Statistics Improvement Programme	Nov 2013
Mid-2008 to Mid-2009	1	Feb 2011	First release	Nov 2013
Mid-2010	1	Oct 2011	First release	Nov 2013

National Park

National Park estimates derived from the postcode best-fit method were first published in 2008 and have since been published on an annual basis. Estimates for mid-2011 onwards are derived from Output Areas (OAs) but remain on an exact-fit basis.

Table 4: National Park population estimates publication history

Data	Release Edition	Published	Comments	Superseded
Current Datasets				
Mid-2001	1	Feb 2008	First release	
Mid-2002 to Mid-2010	2-3	Mar 2014	By ad-hoc age groups Revised to provide a consistent time series for future estimates based on the 2011 Census	
Mid-2011	1	Mar 2014	First release by ad-hoc age groups (2011 census-based)	
Mid-2012	1	Mar 2014	First release by ad-hoc age groups	
Mid-2002 to Mid-2010	2-3	Oct 2014	By single year of age and sex Revised to provide a consistent time series for future estimates based on the 2011 Census	
Mid-2011 to Mid-2012	1	Oct 2014	First release by single year of age and sex	
Mid-2013	1	Oct 2014	First release	
Superseded Datasets				
Mid-2002 to Mid-2005	1	Feb 2008	First release	Feb 2011
Mid-2006	1	Aug 2008	First release	Feb 2011
Mid-2007	1	Feb 2009	First release	Feb 2011
Mid-2001	1	Feb 2008	First release	Nov 2013
Mid-2002 to Mid-2007	2	Feb 2011	Revised to ensure consistency with local authority estimates. LA estimates were revised in May 2010 to incorporate improvements resulting from the Migration Statistics Improvement Programme	Nov 2013
Mid-2008 to Mid-2009	1	Feb 2011	First release	Nov 2013
Mid-2010	1	Oct 2011	First release	Nov 2013

PCO

PCO population estimates were published from 2005 to 2013 but were discontinued in September 2014 following the results of a [formal consultation](#). The table below sets out the publication history of the PCO population estimates, giving the dates and reasons for any revisions that have been made.

Table 5: PCO population estimates publication history

Data	Release Edition	Published	Reason	Superseded
Current Datasets				
Mid-2011	1	Apr 2013	First release	
Mid-2012	1	Oct 2013	First release	
Mid-2002 to Mid-2010	2-4	Nov 2013	Revised to provide a consistent time series for future estimates based on the 2011 Census and to reflect the administrative boundaries in place on 30 June 2011	
Mid-2001	2	Dec 2013	Re-based on 2011 LSOA boundaries in place on 30 June 2011	
Superseded Datasets				
Mid-2001	1	Feb 2005	First release	Dec 2013
Mid-2002 to Mid-2003	1	Feb 2005	First release	Mar 2006
Mid-2004	1	Nov 2005	First release	Mar 2006
Mid-2002 to Mid-2004	2	Mar 2006	Revised to provide a consistent time series for estimates based on Oct 2006 boundaries.	Oct 2007
Mid-2005	1	Mar 2006	First release	Oct 2007
Mid-2002 to Mid-2005	3	Oct 2007	Revised to ensure consistency with local authority estimates. LA estimates were revised in August 2007 to incorporate improvements resulting from the Migration Statistics Improvement Programme.	Nov 2010
Mid-2006	1	Sep 2008	First release	Nov 2010
Mid-2002 to Mid-2007	1-4	Nov 2010	Revised to ensure consistency with local authority estimates. LA estimates were revised in May 2010 to incorporate improvements resulting from the Migration Statistics	Nov 2013

			Improvement Programme.	
Mid-2008	1	May 2010	First release	Nov 2013
Mid-2009	1	Nov 2010	First release	Nov 2013
Mid-2010	1	Sep 2011	First release	Nov 2013